

Financial institutions
Energy
Infrastructure, mining and commodities
Transport
Technology and innovation
Life sciences and healthcare

 **NORTON ROSE FULBRIGHT**

Regulatory Compliance Consulting

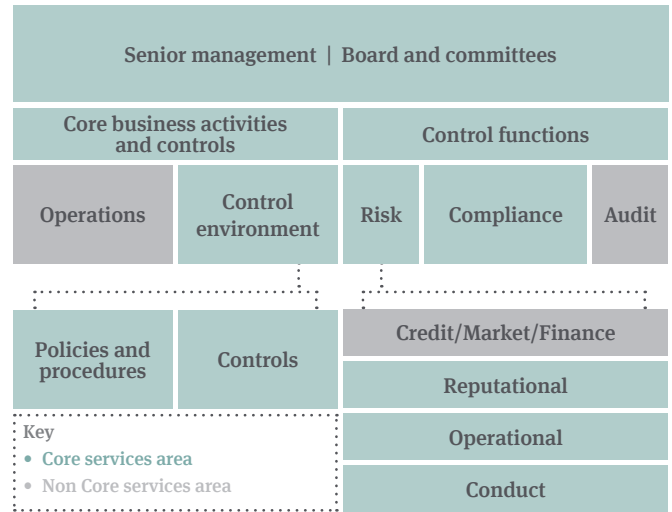


Our consulting team provides practical risk and compliance advisory and assurance solutions.

We help clients maintain and enhance their governance, risk, compliance, financial crime and control arrangements. It is complementary to and works in alignment with our legal service offering.

We focus on

- Helping risk and compliance functions discharge their responsibilities
- Delivering independent assurance, attestation and remediation services
- Improving the efficiency and effectiveness of control environments and governance frameworks



Why us?

- **We combine our regulatory and risk consulting skills with our legal services** – Giving clients the full breadth of services from one provider.
- **We tailor our teams to the needs of each assignment** – Ensuring that our solutions are robust, efficient and sustainable and in line with the client’s risk appetite and operating model.
- **We have cross jurisdictional coverage** – Meaning that we understand the challenges faced by multi-jurisdictional businesses and can resource assignments requiring skills in multiple territories.
- **We bring practical experience to our assignments** – Using individuals in our teams who have worked in industry firms.

How we build our consulting teams

We strongly believe that to achieve the best outcomes for clients, our teams must contain the right balance of technical, operational and practical skills, tailored to meet the objectives of each assignment.

To achieve this, we follow an agile resourcing model, using our Norton Rose Fulbright lawyers and our network of industry professionals to support our own risk and compliance consultants. This involvement ensures that our solutions are both compliant and considered in the context of wider industry practice.



Our services

Strategic change drivers – Impact assessment

Organisational tools and techniques

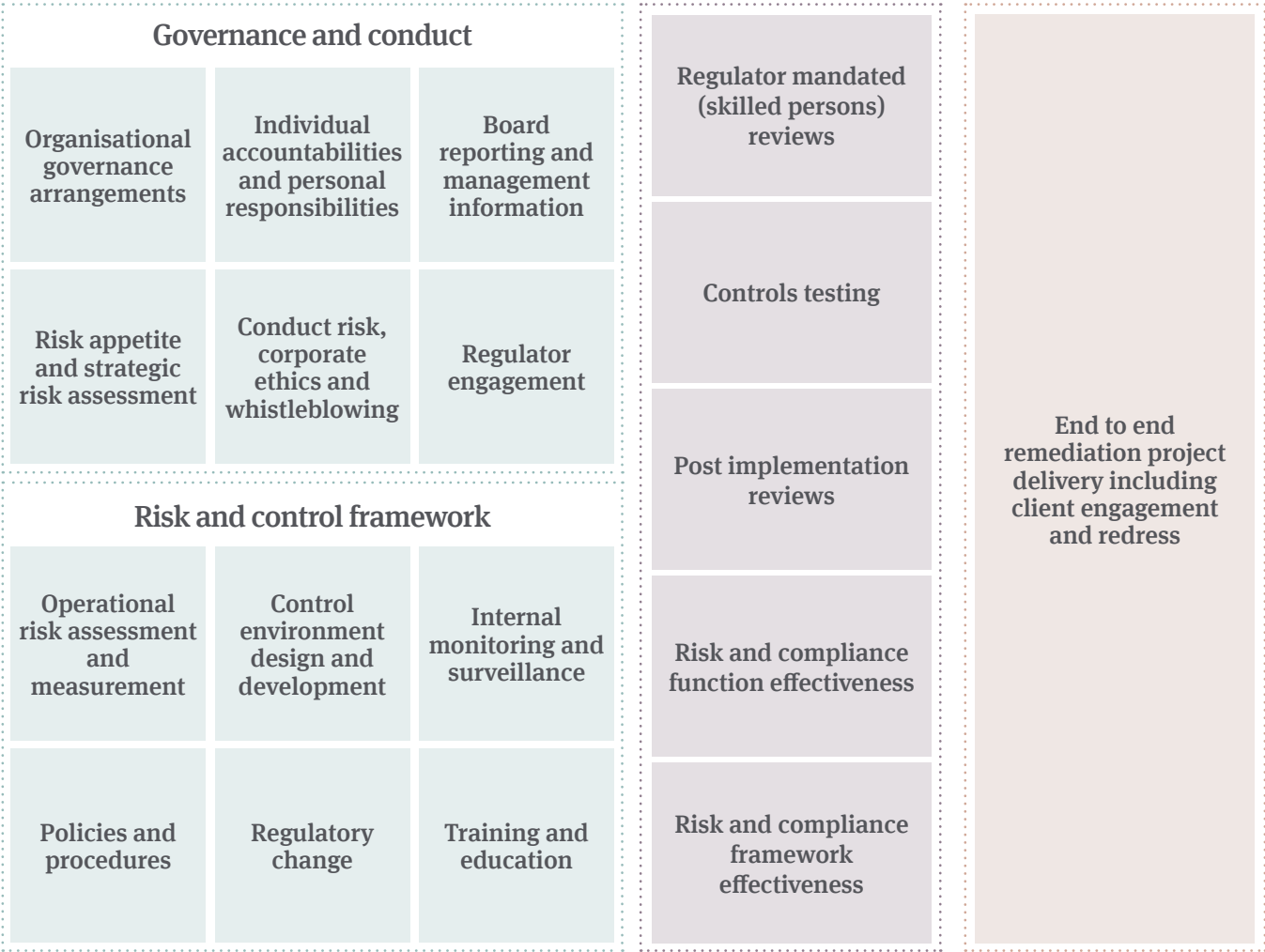
- Helping management identify, assess and mitigate conduct, operational and regulatory risk
- Supporting the development of an effective and sustainable control environment
- Facilitating the implementation and embedding of regulatory change

Assurance

- Targeted assurance
- Reviews of internal controls
- Preparation for regulatory visits

Remediation

- Managing and delivering remediation projects



Regulatory change drivers – Impact assessment

Contacts

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Norton Rose Fulbright

Norton Rose Fulbright is a global law firm. We provide the world's preeminent corporations and financial institutions with a full business law service. We have more than 4000 lawyers and other legal staff based in more than 50 cities across Europe, the United States, Canada, Latin America, Asia, Australia, the Middle East and Africa.

Recognized for our industry focus, we are strong across all the key industry sectors: financial institutions; energy; infrastructure, mining and commodities; transport; technology and innovation; and life sciences and healthcare. Through our global risk advisory group, we leverage our industry experience with our knowledge of legal, regulatory, compliance and governance issues to provide our clients with practical solutions to the legal and regulatory risks facing their businesses.

Wherever we are, we operate in accordance with our global business principles of quality, unity and integrity. We aim to provide the highest possible standard of legal service in each of our offices and to maintain that level of quality at every point of contact.

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